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Bottom trawl fishing footprints on the world's continental shelves

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Bottom trawlers land around 19 million tons of fish and invertebrates annually, almost one-quarter of wild marine landings. The extent of bottom trawling footprint (seabed area trawled at least once in a specified region and time period) is often contested but poorly described. We quantify footprints using high-resolution satellite vessel monitoring system (VMS) and logbook data on 24 continental shelves and slopes to 1,000-m depth over at least 2 years. Trawling footprint varied markedly among regions: from <10% of seabed area in Australian and New Zealand waters, the Aleutian Islands, East Bering Sea, South Chile, and Gulf of Alaska to >50% in some European seas. Overall, 14% of the 7.8 million-km² study area was trawled, and 86% was not trawled. Trawling activity was aggregated; the most intensively trawled areas accounting for 90% of activity comprised 77% of footprint on average. Regional swept area ratio (SAR; ratio of total swept area trawled annually to total area of region, a metric of trawling intensity) and footprint area were related, providing an approach to estimate regional trawling footprints when high-resolution spatial data are unavailable. If SAR was ≤0.1, as in 8 of 24 regions, there was >95% probability that >90% of seabed was not trawled. If SAR was 7.9, equal to the highest SAR recorded, there was >95% probability that >70% of seabed was trawled. Footprints were smaller and SAR was ≤0.25 in regions where fishing rates consistently met international sustainability benchmarks for fish stocks, implying collateral environmental benefits from sustainable fishing.

fisheries | effort | footprint | habitat | seabed

There has been sustained debate about the extent of bottom trawling impacts on marine environments (1, 2). Both the scale

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Data deposition: The data reported in this paper have been deposited in a database at the University of Washington (<https://trawlingpractices.wordpress.com/datasets/>). All data are available as an S4 R object to allow interrogation of data and replication of analysis.

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Significance

We conducted a systematic, high-resolution analysis of bottom trawl fishing footprints for 24 regions on continental shelves and slopes of five continents and New Zealand. The proportion of seabed trawled varied >200-fold among regions (from 0.4 to 80.7% of area to a depth of 1,000 m). Within 18 regions, more than two-thirds of seabed area remained untrawled during study periods of 2–6 years. Relationships between metrics of total trawling activity and footprint were strong and positive, providing a method to estimate trawling footprints for regions where high-resolution data are not available. Trawling footprints were generally smaller in regions where fisheries met targets for exploitation rates, implying collateral environmental benefits of effective fisheries management.

and ecological consequences of trawl impacts have been highlighted, with suggestions that bottom trawls are “annually covering an area equivalent to perhaps half of the world’s continental shelf” (1). In contrast, fishing industry representatives often claim that the scale of their impact is more limited, highlighting their targeted use of well-defined fishing grounds rather than widespread “ploughing” of the seabed (3). Robust quantification of the distribution and intensity of bottom trawling would provide an evidence base to assess pressures on seabed habitats, to compare the impacts of different fisheries, to characterize fisheries, and to estimate the extent of untrawled areas outside marine protected areas (MPAs) and fisheries closures (4–9).

Distributions of trawling activity were traditionally reported at a spatial scale of several hundred square kilometers and larger, because these coarse scales were used for data collection and recording (10). Activity mapped at coarse scales inevitably provides a misleading picture of the spatial distribution of trawling, since trawled areas combine with untrawled areas (11). Local and regional studies have provided a higher-resolution view of activity from positions in vessel logbooks, analyses of plotter data, analyses of overflight data, or direct tracking of subsets of vessels. These show that trawling distributions are often highly aggregated, but coverage of vessels and areas was usually insufficient to map total trawling distributions at the shelf sea scale (12).

The introduction of vessel monitoring systems (VMSs) as a surveillance and enforcement tool revolutionized the study of fishing activity and footprints, providing high-resolution information on locations of individual fishing vessels and complete or almost complete coverage of many fleets (13–15). VMS data enable management authorities to monitor whether a vessel is in an area where it is permitted to fish. VMS data are also used by scientists to show the locations and dynamics of fishing activity, usually based on density distributions of position records or reconstructed tracks (16–18). High-resolution descriptions of trawling activity from VMS have already underpinned studies of fishing behavior and dynamics (19, 20) and trawling impacts on species, habitats, and ecosystem processes at regional scales (21–28), and they have provided indicators of fishing pressure (4, 29). They have also supported marine spatial planning (7, 9, 30, 31), including mapping fishing grounds (32–35) and providing advice on siting MPAs (7, 33) and assessment of MPA effects (13, 14). VMS data are often linked, vessel by vessel, to the fishing gears that are deployed and catches that are recorded (17).

High-resolution position data allow the aggregation of trawling to be assessed at multiple scales. Aggregation needs to be accounted for when estimating trawling impacts, because repeated passes on a previously trawled seabed each have a smaller impact than the first pass of a trawl on a previously untrawled seabed (36). Analyses at finer scales will better identify aggrega-

tion and the presence of untrawled areas (2), which have important implications for impact and recovery dynamics, and reveal smaller trawled areas and lower trawling pressure than analyses at coarser scales (37, 38). The scale at which the spatial distribution of trawling activity can be shown to be random in a given year is typically less than 5 km² (12), but random trawling activity tends to be uniformly spread at the same scale when data are accumulated over multiple years (39).

An increasing number of regional analyses describe trawling footprints based on VMS or high-resolution tow-by-tow observer and logbook data (5, 9, 23, 40). VMS data provide advantages over automatic identification system (AIS) data for measuring the totality of these footprints, because VMS is usually required for whole fleets and the use of VMS as a formal enforcement tool means that attempts to stop transmissions are usually spotted and rectified (41). Furthermore, vessel identification codes recorded with VMS position data can be linked directly to vessel identification codes used for recording information on gear types and dimensions as well as catch or landings data (17, 42, 43). The main limitation of VMS data in relation to AIS is the relatively low transmission rate (typically one position record every 1 or 2 h), thus requiring the development of methods to identify fishing activity and to interpolate tracks (44–46).

Systematic comparisons of the footprints of bottom trawl fisheries in those regions where the majority of all fishing vessels are monitored using VMS or reporting tow-by-tow observer data would provide an evidence base to resolve uncertainties about the scale and intensity of bottom trawling and to underpin assessments of the impacts of trawling on seabed habitats. Such evidence is also necessary to effectively assess and manage the environmental impacts of fishing methods and to address tradeoffs given that bottom trawl fishing makes a substantial contribution to human food supply. Data from the Food and Agriculture Organization of the United Nations (FAO) (47–49) suggest that landings of fish, crustaceans, and mollusks from towed bottom gears from 2011 to 2013 were 18.9–19.8 million t y⁻¹, equating to 23.3–24.4% of mean annual marine wild-capture landings in the same years (*SI Appendix, Text S1*).

Here, we collate and analyze VMS and logbook data to provide standardized high-resolution estimates of bottom trawling footprints on continental shelves and slopes to a depth of 1,000 m in selected regions of Africa, the Americas, Australasia, and Europe. In these analyses, bottom trawling refers to all towed gears making sustained contact with the seabed, including beam and otter trawls and dredges (50). We assess whether the aggregation of bottom trawling activity is a consistent feature of trawl fisheries in different regions and describe how footprints are related to fisheries landings, effort, and the status of fish stocks. We quantify a relationship between trawling footprints and less complex measures of total trawling activity. This relationship can be used to estimate footprints for those areas of the world where high-resolution data are not available and to predict how fishing footprints may evolve in newly exploited areas given any proposed or projected level of trawling effort (e.g., the Arctic).

Trawling Footprints

To estimate bottom trawling footprints, we obtained high-resolution vessel position data accounting for 70–100% of all known trawling activity over 2–6 y (usually 3 y, 2008–2010) in each of 24 regions (Fig. 1, Table 1, and *SI Appendix, Figs. S3–S26 and Text S2*). Footprints were defined as the area of seabed trawled at least once in a specified region and time period, with area trawled determined from gear dimensions and tow locations (*SI Appendix, Table S1 and Text S2*). Trawling activity data were collated and processed for regions spanning 7.8 million km² of seabed to depths of 1,000 m. Regions were excluded from the analyses where trawling activity data provided <70% coverage of

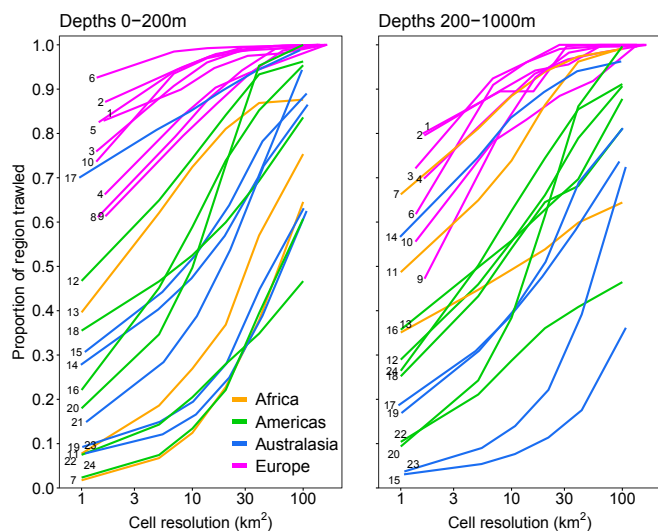


Fig. 1. Relationships between the spatial resolution of effort data and the trawling footprint (approach A, grid cell based; in the text) for depth ranges of 0–200 and >200–1,000 m. Region codes follow Fig. 3 and Table 1. Three regions are not represented in *Right* (depths of 200–1,000 m), because these regions are predominantly <200-m deep.

total trawling activity (*SI Appendix*; excluded regions are listed in *SI Appendix*, Figs. S27–S34, Table S2, and Text S3).

Trawling footprints may be estimated in at least three ways. All of these rely on gridding the region used by fisheries at a defined scale and then generating measures of the area trawled within every grid cell by overlaying information on the positions of fishing tows. Areas trawled in every grid cell are then summed across the region. The approaches differ in how they estimate the area trawled within each grid cell. Approach A involves summing the area of any grid cells in which any trawling activity is recorded in a defined time period (usually 1 y), although some of the area within a grid cell may not have been trawled in that time period. Approach B involves summing the area trawled within each grid cell in a defined time period, where the area trawled is estimated based on the assumption that the number of times that any point within the cell is trawled is randomly (Poisson) distributed (5). Approach C involves summing the area trawled within each grid cell in a defined time period, where the area trawled is estimated based on the assumption that trawling is uniformly spread within the cell.

With approach A, footprint estimates depend very strongly on grid resolution. As grid cell area is increased from 1–3 km² [the scale at which trawling is usually distributed randomly within cells (12)] to $\geq 10^4$ km², the estimated area of trawling footprints increased substantially (Fig. 1). Median increases in footprints were 34, 63, 48, and 57% in Europe, Africa, the Americas, and Australasia, respectively, at depths of 0–200 m and 41, 33, 56, and 55%, respectively, at depths of 200–1,000 m. Thus, at coarse resolutions of analysis, such as the 0.5° grid cells (area approximately 3,100 km² at the equator) that have sometimes been used to show trawling distributions, trawling footprints will be markedly overestimated, and the extent of untrawled areas will be underestimated.

Although reductions in the scale of grid cell-based analyses to around 1 km² will characterize trawling footprints more accurately, these footprint estimates will still be larger than those resulting from more detailed analysis of the distribution of individual trawling tracks within cells. This is because it is impossible, or statistically unlikely, that a grid cell is trawled in its entirety when trawling intensity is low. Approaches B and C directly address this issue. Approach B provides a more accurate

estimate of annual trawling footprint, because the distribution of trawling at any point within cells of close to 1-km² area has been shown to be random on annual timescales (39). Approach C is more appropriate to estimate aggregate footprint over many years, because trawling within cells tends to spread more uniformly as many years of trawl location data are aggregated. Thus, annual mean footprint is better approximated by approach B than by approach C, while the multiyear footprint is better approximated by approach C than by approach B.

To estimate the trawled area within grid cells, we first calculated the annual swept area ratio (SAR) for each grid cell. In general, SAR is defined as the total area swept by trawl gear over a defined time period (usually 1 y) divided by the total seabed area at a defined spatial scale (usually from grid cell to region). The total area swept within a defined area (e.g., a grid cell) is calculated as the product of trawling time, towing speed, and dimensions of gear components contacting the seabed (42) summed over the different types of trawl gear operating in the area. The estimated mean annual SAR in each grid cell is then used as the mean of an assumed random distribution (Poisson; approach B) or uniform spread (approach C) of trawling within each cell to determine the proportion of grid cell area that was trawled at least once (i.e., contributes to footprint area) or not trawled.

When using the 1-km² cell-based approach (approach A) to estimate the trawling footprints in the study period, 33.6% of the total area for which we collated $\geq 70\%$ of bottom trawling activity (7.8 million km² of seabed at depths of 0–1,000 m) was trawled and 66.4% was untrawled. When we accounted for untrawled areas inside trawled grid cells assuming random trawling distributions (approach B), trawled area fell to just 11.7%, and untrawled area was 6.9 million km² or 88.3% of total area. When we assumed uniform trawling distributions within trawled cells (approach C), trawled area was 14.0%, and untrawled area was 86.0% (6.7 million km²) of total area. The overall pattern was consistent with regional patterns, with approach A yielding higher estimates of footprint than approaches B and C (Table 1 and *SI Appendix*, Fig. S35). We primarily report footprints based on the uniform approach C, as these best approximate the aggregate footprint of trawling over many years.

The overall footprint of trawling to a depth of 1,000 m, based on the assumption of uniform spread within grid cells (approach C), was $\leq 10\%$ of seabed area in 11 of 24 regions (Fig. 2 and Table 1). A larger fraction, from 10 to 30% of the shelf and upper slope area to 1,000-m depth, was trawled in the Irish Sea, North Benguela Current, South Benguela Current, Argentina, East Agulhas Current, and west of Scotland. The remaining seven regions, all in the northeast Atlantic and Mediterranean, had >30 –81% of the shelf area trawled. The untrawled area was $>50\%$ in 20 of 24 regions. Some of the largest regions that we considered were among the least intensively trawled. Thus, trawling footprint in the largest region, New Zealand, was 8.6%, while footprints in Argentina, North Australian Shelf, and North West Australian Shelf (ranked two to four by area) were 17.6, 2.2, and 1.6, respectively (Table 1 and *SI Appendix*, Fig. S36). Concentration of trawling activity within footprints varied among regions. The most intensively trawled area accounting for 90% of total trawling activity (calculated with the uniform spread assumption; approach C) ranged from 0.4 to 60% of the area of the regions and comprised 52–100% of the total trawling footprint area within regions (mean 78%) (Table 1 and *SI Appendix*, Fig. S37). We focus on approach C when making these comparisons, because this approach provides more reliable estimates of trawling footprints on the multiyear timescales, which are relevant when considering impact and recovery dynamics of most seabed biota (50).

The frequency of trawling is another relevant metric when assessing trawling impacts on the status of seabed biota (50). We expressed the frequency of trawling disturbance as the average interval between trawling events for each of the trawled grid

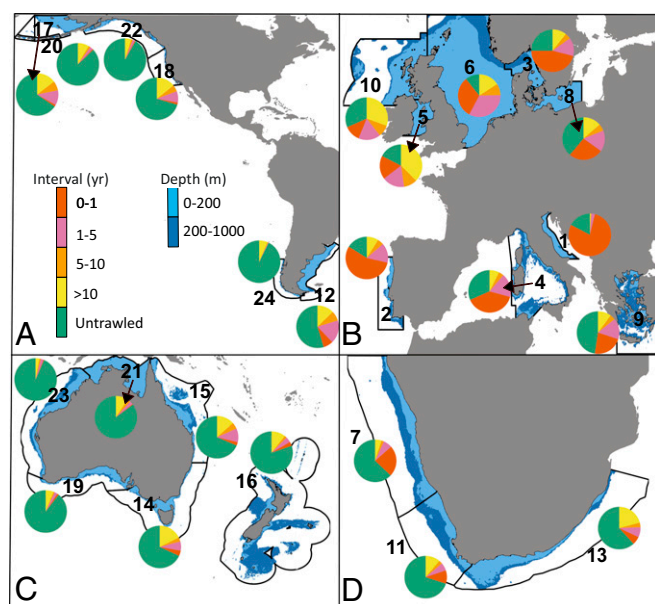


Fig. 2. Mean interval between trawling events and the proportion of unfished area at depths 0–1,000 m for regions in (A) the Americas, (B) Europe, (C) Australasia, and (D) Africa. Black lines indicate boundaries of study regions, pale blue tones indicate depths of 0–200 m in the study regions, darker blue tones indicate depths of 200–1,000 m in the study regions, and all deeper areas and areas outside study regions are shown in white. In all numbered regions, the proportion of bottom trawling included in this analysis exceeds 70% of total activity (Table 1). Region codes follow Fig. 3 and Table 1.

been able to include 100% of known trawling activity in our analyses. If we assume that the relationship between SAR and trawling footprint applies in all of the cases where coverage is <100%, then the combined trawling footprint across all regions would increase by 71,000 km², or 0.9% of the 7.8 million-km² study area, if we obtained data on all trawling activity. This would represent an increase of 8.2% in the total area trawled across all 24 regions, with higher regional increases in regions where coverage of effort was closer to 70%.

We calculated regional SAR with high-resolution data, but it can also be calculated as the product of total annual hours of trawling, mean towing speed, and gear width without information on the location of trawlers at subregional scales. Regional SAR calculated from this more widely available information might then be used to predict trawling footprint using the relationship in Fig. 4. We applied this approach to the bottom trawl shrimp fisheries off the US coast of the Gulf of Mexico, a region for which we had no VMS data. The area of the northern Gulf of Mexico shelf and slope to a depth of 1,000 m is $\sim 4.6 \times 10^5$ km², and the swept area in the years 2007–2009 was 2.8×10^5 km² y⁻¹. This leads to a mean SAR of 0.64 y⁻¹. If the relationship described in Fig. 4 applies to these bottom trawl fisheries, then there is a 0.9 probability that 16–43% of this region of the Gulf of Mexico is trawled based on the uniform assumption and a 0.95 probability that more than 56% is untrawled (*SI Appendix, Text S4*).

Bottom trawling may impact a range of seabed types within a given footprint. For regions where $\geq 70\%$ of trawling activity was recorded, we quantified the intersection of trawling with four broad seabed types. We defined seabed types based on sediment composition obtained from the dbSEABED database of marine substrates (51). A simple sediment classification rather than a more highly resolved habitat classification was adopted to enable equitable treatment of habitat across all regions and for consistency with habitat types reported in most trawling impact studies

(36, 52–55). Grid cells were classified to sediment types by denoting “gravel” if gravel >30%, else “sand” if mud <20%, else “mud” if sand <20%, and else “muddySand” (53). Sediment data could be obtained for 90% of cells in all regions, except for New Zealand (86%), Aleutian Islands (72%), Gulf of Alaska (68%), and Argentina (52%).

Within all regions, the bottom trawling footprint on each sediment type was correlated with total area by sediment type (*SI Appendix, Fig. S38*). This result implies that bottom trawling activity is not consistently directed toward certain sediment types. This is expected, since we compiled activity by multiple fleets rather than individual types of bottom trawl fishery (e.g., stratified by gears, fleets) and because fishers are targeting different fish species with different trawl gears on many types of seabed (42). While this result may be more nuanced with a more highly resolved classification of habitat types (23), a consistent and highly resolved ecologically based habitat classification is not available for all regions.

International calls for MPAs coverage of 10% of ocean area (56) to 30% or more (57) often focus on the protection of seabed from bottom trawling. Our results show that $\geq 30\%$ of the seabed was not trawled during the study period in all regions except the Adriatic Sea. In 20 of 24 regions, $\geq 50\%$ of the seabed was not trawled during the study period. This proportion of untrawled seabed is already much greater than the proportion proposed for protection within MPAs (56, 57), showing opportunities in many regions to site MPAs in areas that have not been affected by and would not displace trawling activity. Furthermore, since trawling footprints were distributed more or less evenly in relation to broad sediment types, the large proportions of untrawled area in a region may imply a relatively representative range of seabed types currently remain untrawled. However, as described in relation to the habitat analysis, this conclusion may not hold when

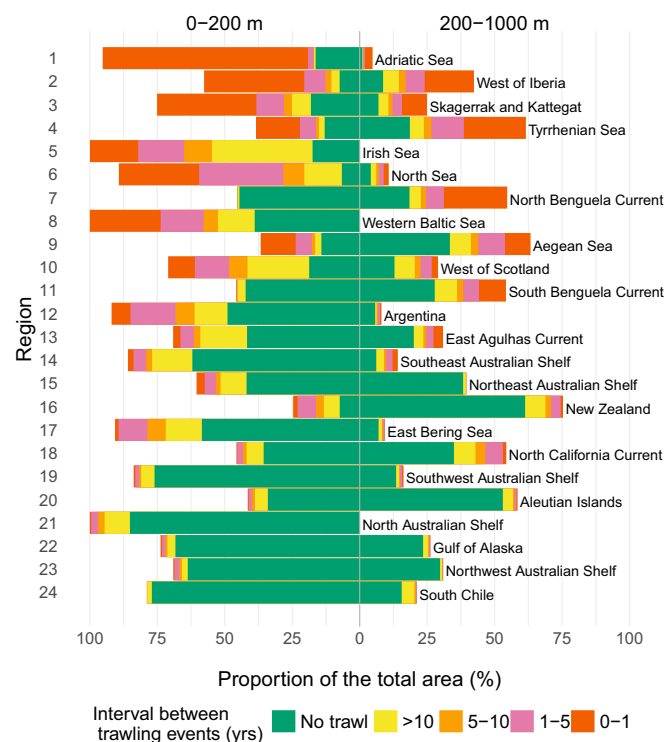


Fig. 3. Proportions of the total area of each region, at depths of 0–200 and >200–1,000 m, trawled at different frequencies. Region code numbers increase as regional SAR decreases.

between regional SAR and footprint, providing a method to estimate trawling footprints for regions where high-resolution data from logbooks, AISs, and satellite VMSs are not available. Regional SAR and trawling footprints were generally smaller in regions when fisheries were meeting reference points for sustainable exploitation rates on bottom dwelling stocks, implying collateral environmental benefits from successful fisheries management of these bottom dwelling stocks.

Methods

Bottom Trawling Contribution to Global Landings. Marine global landings by mobile bottom fishing gears for the years 2011–2013 were estimated from FAO landings data (47) (*SI Appendix, Text S1*). Species or species groups not caught with mobile bottom gears were excluded as were species with mean landings of $<1,000 \text{ t yr}^{-1}$, which account for a negligible proportion of the total ($<1\%$ but cannot be quantified precisely due to nonrecording). For remaining species or species groups, we estimated the proportion caught by mobile bottom fishing gear (*SI Appendix, Text S1*) and combined this with estimates of mean annual landings of marine fishes that are not identified by the FAO (48, 49, 58). The calculation excludes fish that are caught but discarded (59).

Estimating Trawling Footprints. We estimated the area trawled within each grid cell using approach B (assuming random trawling distribution) and approach C (assuming a uniform spread of trawling distribution). Both approaches required estimates of grid cell SAR. Grid cell SAR was estimated for individual cells, typically $1 \times 1 \text{ km}$ (1 km^2) or $1 \times 1 \text{ min}$ of longitude and latitude (1.9 km^2 at 56° north or 56° south) in grids spanning each region. At these spatial scales, trawling tends to be randomly distributed within years but tends to be uniformly spread on longer timescales (39), consistent with the assumptions that we make to estimate footprint. For each grid cell, the SAR was calculated as the ratio of the total trawl swept area (estimated from gear dimensions, towing speed, and towing time) divided by grid cell area. Methods of analysis varied among regions depending on how vessels were tracked (VMS or observers, logbooks), on how fishing tracks were reconstructed from position data, and how fishing tracks were linked to vessel, gear dimension, and catch information (*SI Appendix, Table S1 and Text S2*). The methods were adopted by regional specialists to provide their most reliable estimates of grid cell SAR and thus, footprint within the region. Details of analytical approaches for each region are described in *SI Appendix, Figs. S3–S34, Table S1, and Text S2*. Data used in the analyses can be accessed from a database deposited with the University of Washington (<https://trawlingpractices.wordpress.com/datasets/>).

At broad scales, the distributions of bottom trawling tend to be consistent from year to year, as activity is strongly tied to fish distributions and limited by environmental, technical, and economic constraints on areas of gear deployment in the absence of changing management regulations (11). Even so, our analyses of changes in activity distribution from year to year in each region do show that there are often small increases in cumulative footprint area as additional years are included in the computations (*SI Appendix, Figs. S3–S34*). In regions where footprint is small, the absolute effects of these increases would be trivial, and substantial areas are still expected to remain untrawled on decadal timescales. In regions where habitat is relatively uniform and footprint is large, it is possible that the entire region available to trawlers would be fished on decadal timescales if economically viable to do so, with the exception of any management areas where bottom fishing is banned or where the seabed is unsuitable for use of towed bottom gears.

The selection of regional boundaries will influence the results of the footprint analysis. Thus, boundaries were selected and fixed before we started the analyses,

primarily based on the shelf and slope area to 1,000 m and adjacent to nations for which we expected data to be available but also guided by biogeographic and oceanographic features and in some cases, existing management regions. After these boundaries were defined, we split the designated area based on 0- to 200-m and 200- to 1,000-m depths. We could not use existing classifications, like large marine ecosystems (LMEs), because in many cases, use of LMEs would lead to mixed jurisdictions and fisheries from multiple countries in one region, and would have reduced the overall coverage of trawling activity. The proportional coverage of trawling activity by region was estimated from the proportion of catch or fishing effort recorded by the trawlers for which we obtained data as a proportion of total catch or effort by all trawlers in the region (Table 1).

In some regions, such as Europe, small inshore vessels may use towed bottom gears but may not be subject to the same monitoring or reporting requirements as larger vessels. Even in regions where we have high coverage of reported catch or effort, some inshore bottom trawling activity may not be included. We, therefore, caution that the results for these regions may not be informative for the immediate inshore zone (typically to 3 miles offshore), and additional data collection and analyses would be needed to address this data gap.

Fishing Mortality. Estimates of the ratio of fishing mortality rates (F) to fishing mortality reference points (F_{MSY}) for 87 stocks caught with towed bottom gears were used to describe the sustainability of fishing rates in each region. For each 1 of 23 areas with high coverage of trawling activity ($>70\%$), data on the intensity of the fishing pressure for stocks targeted by bottom contact fishing gears were obtained from the RAM Legacy database (60) (Version 4.30; ramlegacy.org). RAM Legacy is currently the most comprehensive repository of stock assessment data containing time series of biomass, catches, fishing mortality, recruitment, and management reference points for more than 1,000 stocks of marine and anadromous fishes. Stocks were included in the analyses when (i) both trawl footprint data and a fishing mortality reference point were available for the years 2008–2010; (ii) the spatial distribution of the stock matched at least one of the regions with high coverage ($>70\%$) of trawling activity; and (iii) the largest proportion of landings from the stock, by gear, is taken with bottom trawls. Additional descriptions of the methods, the stocks included, stock distributions in relation to the study regions, and resulting status estimates are provided in *SI Appendix, Table S3 and Text S5*.

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